

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY DOCKET NUMBER 59706
		U.S. APPLICATION NO. 09/979522
INTERNATIONAL APPLICATION NO. PCT/FR00/01042	INTERNATIONAL FILING DATE 20 APRIL 2000	PRIORITY DATE CLAIMED 7 MAY 1999
TITLE OF INVENTION: ELECTRONIC TRANSACTION SYSTEM USING A PORTABLE PERSONAL DEVICE		
APPLICANT(S) FOR DO/EO/US: André AMPELAS, Alain CHESNOY		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<input checked="" type="checkbox"/> 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.		
<input type="checkbox"/> 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.		
<input checked="" type="checkbox"/> 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).		
<input checked="" type="checkbox"/> 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.		
<input checked="" type="checkbox"/> 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)):		
<input type="checkbox"/> a. is transmitted herewith (required only if not transmitted by the International Bureau).		
<input checked="" type="checkbox"/> b. has been transmitted by the International Bureau.		
<input type="checkbox"/> c. is not required, as the application was filed in the United States Receiving Office (RO/US).		
<input checked="" type="checkbox"/> 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).		
<input checked="" type="checkbox"/> 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):		
<input type="checkbox"/> a. are transmitted herewith (required only if not transmitted by the International Bureau).		
<input type="checkbox"/> b. have been transmitted by the International Bureau.		
<input type="checkbox"/> c. have not been made; however, the time limit for making such amendments has NOT expired.		
<input checked="" type="checkbox"/> d. have not been made and will not be made.		
<input type="checkbox"/> 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
<input checked="" type="checkbox"/> 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
<input type="checkbox"/> 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 16 below concern document(s) or information included:		
<input checked="" type="checkbox"/> 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
<input checked="" type="checkbox"/> 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
<input checked="" type="checkbox"/> 13. A FIRST preliminary amendment.		
<input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.		
<input checked="" type="checkbox"/> 14. A substitute specification.		
<input type="checkbox"/> 15. A change of power of attorney and/or address letter.		
<input checked="" type="checkbox"/> 16. Other items or information:		
Marked up version of substitute specification.		
Submission of Proposed Modifications to Drawings.		

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

Kristin Thanski
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

Thusti Thanski
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

U.S. APPLICATION NO.

09/979522

INTERNATIONAL APPLICATION NO.

PCT/FR00/01042

ATTORNEY'S DOCKET NUMBER

59706

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO	\$890.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$750.00
No international preliminary exam fee paid to USPTO but int'l search fee paid to USPTO (37 CFR 1.445(a)(2))	\$700.00
Neither international preliminary examination fee nor int'l search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$970.00
International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(2)-(4)	\$96.00

CALCULATIONS (PTO USE ONLY)

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ____20____30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

The filing fee has been calculated according to the Preliminary Amendment filed herewith as shown below:

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	36 - 20 =	16	x \$18.00	\$288.00	
Independent claims	3 - 3 =	0	x \$84.00	\$	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1,178.00	
SUBTOTAL =				\$1,178.00	
Processing fee of \$130.00 for furnishing the English translation later than ____20____30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,178.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$40.00	
TOTAL FEES ENCLOSED =				\$1,218.00	
				Amount to be: refunded	\$
				charged	\$

a. ☒ A check in the amount of \$ 1,218.00 to cover the above fees is enclosed.b. _____ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0484.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

[X] PLEASE ADDRESS ALL CORRESPONDENCE TO ATTORNEY OF RECORD: CHRISTOPHER F. REGAN

[X] Associate this file with Customer No. 27975.



27975

PATENT TRADEMARK OFFICE

SIGNATURE

MICHAEL W. TAYLOR

NAME

43,182

REGISTRATION NUMBER

09/979522

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#20

In re Patent Application of:)
 AMPELAS ET AL.)
)
 Serial No. Not Yet Assigned)
)
 Filing Date: Herewith)
)
 For: ELECTRONIC TRANSACTION SYSTEM)
 USING A PORTABLE PERSONAL)
 DEVICE)
 _____)

SUBMISSION OF PROPOSED MODIFICATIONS TO DRAWINGS

Director, U.S. Patent and Trademark Office
 Washington, D.C. 20231

Sir:

Submitted herewith is a request for a proposed drawing modification as indicated in red ink to label the blocks in FIG. 1.

Respectfully submitted,

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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DATE OF DEPOSIT November 6, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20031

Kristin Transki
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